

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Guy Krippner et al.

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_

Entitled: ANTIVIRAL AGENTSBiota Scientific Management Pty Ltd

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be  
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records  
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X 20 May 2002 X Richard A. Wadley  
Date Typed or printed name

X [Signature]  
Signature

X Chief Financial Officer  
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual  
case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S.  
Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
Assistant Commissioner for Patents, Washington, DC 20231.

Docket No. 150070.402USPC

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## . ASSIGNMENT

WHEREAS, we, Guy Krippner, Phillip A. REECE, Keith G. Watson, Wen-Yang Wu, Betty Jin and Simon P. Tucker (hereinafter referred to as ASSIGNORS), having mailing addresses of 58 Cypress Avenue, Glen Waverley, Victoria 3150 Australia; 160 Winmalee Road, Balwyn, Victoria 3103 Australia; 23 Erasmus Street, Surrey Hills, Victoria 3127 Australia; 34 Munro Avenue, Mount Waverley, Victoria 3149 Australia; 34 Munro Avenue, Mount Waverley, Victoria 3149 Australia; and 10B Karrakatta Street, Black Rock, Victoria 3193 Australia, respectively, are the co-inventors of an invention entitled "ANTIVIRAL AGENTS," as described and claimed in the specification of International Application No. PCT/AU00/01126, accorded a Filing Date of September 15, 2000 for which an application for a United States letters patent is sought;

WHEREAS, Biota Scientific Management Pty Ltd (hereinafter referred to as ASSIGNEE), residing at 616 St. Kilda Road, Melbourne, Victoria 3004 Australia, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

X 30th April 2002  
Date

X Guy Krippner  
Guy Krippner

I certify that I know or have satisfactory evidence that Guy Krippner signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Witness X [Signature]

Date: X 30/4/02

X 8th May 2002  
Date

X [Signature]  
Phillip A. REECE

I certify that I know or have satisfactory evidence that Phillip A. Reese signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Witness X [Signature]

Date: X 9/5/2002

X 17th May 2002  
Date

X Keith Watson  
Keith G. Watson

I certify that I know or have satisfactory evidence that Keith G. Watson signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Witness X [Signature]

Date: X 17/5/2002

x 13 th May 2002  
Date

x [Signature]  
Wen-Yang Wu

I certify that I know or have satisfactory evidence that Wen-Yang Wu signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Witness x [Signature]

Date: x 13 th May 2002

x 13 th May 2002  
Date

x Betty Jin  
Betty Jin

I certify that I know or have satisfactory evidence that Betty Jin signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Witness x [Signature]

Date: x 13 th May 2002

x 18 April 2002  
Date

x [Signature]  
Simon P. Tucker

I certify that I know or have satisfactory evidence that Simon P. Tucker signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Witness x [Signature]

Date: x 18 April 2002